

# Some mathematical models from population genetics

## *5: Muller's ratchet and the rate of adaptation*

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How many generations will it take for an asexually reproducing population to lose its best class?

# Haigh's model

Wright-Fisher model:

Individuals in  $(t + 1)$ st generation select parent at random from generation  $t$ .

Probability individual which has accumulated  $k$  mutations is selected as parent proportional to *relative fitness*  $(1 - s)^k$ .

Number of mutations carried by offspring then  $k + J$ , where  $J \sim \text{Pois}(\lambda)$  (independent).

Type frequencies:  $\mathbf{x}(t) = (x_k(t))_{k=0,1,\dots}$

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$K_1, K_2, \dots, K_N$  independent copies of  $H + J$ .

Random type frequencies in next generation are

$$X_k(t + 1) = \frac{1}{N} \#\{i : K_i = k\}.$$

# Infinite populations

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$$\mathbb{P}[H = k] \propto (1-s)^k x_k = (1-s)^k \frac{\alpha^k e^{-\alpha}}{k!}.$$

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Poisson weights  $\mapsto$  Poisson weights.

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For every initial condition with  $x_0 > 0$ , the solution to the deterministic dynamics converges as  $t \rightarrow \infty$  to the stationary point

$$\pi := \text{Pois}(\lambda/s).$$

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Condition on  $\mathbf{Y}(t) = \mathbf{y}(t)$ . Size of new best class,  $y_0(t+1) \sim \text{Binom}(N, p_0(t))$ , with  $p_0(t)$  probability of sampling parent from best class *and* not acquiring any additional mutations:

$$p_0(t) = \frac{y_0(t)}{W(t)} e^{-\lambda}, \quad W(t) = \sum_{i=0}^{\infty} y_i(t) (1-s)^i.$$

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Evolution of best class determined by  $W(t)$ , the *mean fitness* in the population.

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- **Phase one:** deterministic dynamical system dominates, decaying exponentially fast towards its equilibrium
- **Phase two:** the 'bulk' of the population changes only slowly. Mean fitness assumed constant and then No. of individuals in best class approximated by Galton-Watson branching process with Poisson offspring distribution.

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$$dX_k = \left( \sum_j s(j-k)X_jX_k + \lambda(X_{k-1} - X_k) \right) dt + \sum_{j \neq k} \sqrt{\frac{1}{N}X_jX_k} dW_{jk},$$

$k = 0, 1, 2, \dots$  where  $X_{-1} = 0$  and  $(W_{jk})_{j>k}$  array of independent Brownian motions,  $W_{kj} := -W_{jk}$ .

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Brownian motions,  $W_{kj} := -W_{jk}$ .

As before  $Y_k = X_{k^*+k}$ ,

$$dY_0 = s(M_1(\mathbf{Y}) - \lambda)Y_0(t)dt + \sqrt{\frac{1}{N}Y_0(1-Y_0)}dW_0, \quad M_1(\mathbf{Y}) = \sum_j jY_j.$$

# Infinite population limit

$$dx_k = (s(M_1(\mathbf{x}) - k) - \lambda)x_k + \lambda x_{k-1} dt, \quad k = 0, 1, 2, \dots$$

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Transform into system of equations for cumulants:

$$\log \sum_{k=0}^{\infty} x_k e^{-\xi k} = \sum_{k=1}^{\infty} \kappa_k \frac{(-\xi)^k}{k!}.$$

Assume  $x_0 > 0$  and set  $\kappa_0 = -\log x_0$ . Then

$$\dot{\kappa}_k = -s\kappa_{k+1} + \lambda, \quad k = 0, 1, 2, \dots$$

System can be solved. In particular,

$$\kappa_1(t) = \sum_{k=0}^{\infty} kx_k(t) = -\frac{\partial}{\partial \xi} \log \sum_{k=0}^{\infty} x_k(0)e^{-\xi k} \Big|_{\xi=st} + \frac{\lambda}{s}(1 - e^{-st}).$$

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Notice the exponential decay towards the equilibrium value of  $\lambda/s$ . The time

$$\tau = \frac{\log(\lambda/s)}{s}$$

corresponds exactly to the end of Haigh's phase one.

# Approximations

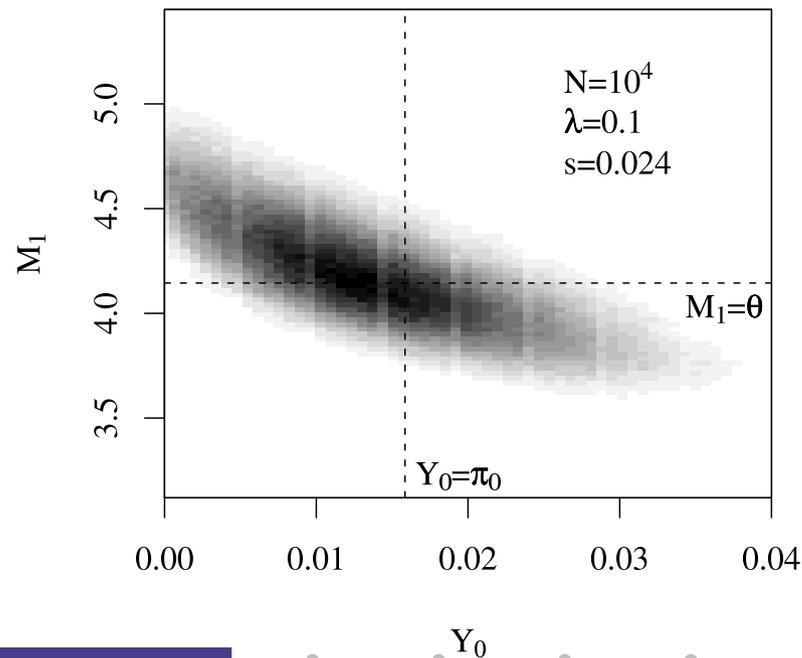
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Cannot solve for  $M_1(\mathbf{Y})$ . Instead seek a *good approximation* of  $M_1$  given  $Y_0$ . Simulations suggest a good fit to a linear relationship between  $Y_0$  and  $M_1$ .



# Extending Haigh's approach

Haigh assumes at click times  $\pi_0$  distributed evenly over other classes. Suppose now that this holds in between click times too: given  $Y_0$  approximate state of system by *the PPA* (Poisson Profile Approximation)

$$\Pi(Y_0) = \left( Y_0, \frac{1 - Y_0}{1 - \pi_0} (\pi_1, \pi_2, \dots) \right).$$

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Estimate  $M_1$  not from PPA but from *relaxed* PPA obtained by evolving PPA according to the deterministic dynamical system for time  $A\tau := A \log(\lambda/s)/s$ . This gives

$$M_1 = \theta + \frac{\eta}{e^\eta - 1} \left( 1 - \frac{Y_0}{\pi_0} \right), \quad \eta = (\lambda/s)^{1-A}.$$

# Three one dimensional diffusions

Substituting in the one-dimensional diffusion approximation for  $Y_0$  gives:

$$A \text{ small,} \quad dY_0 = \lambda(\pi_0 - Y_0)Y_0 dt + \sqrt{\frac{1}{N}Y_0} dW,$$

$$A = 1, \quad dY_0 = 0.58s \left(1 - \frac{Y_0}{\pi_0}\right) Y_0 dt + \sqrt{\frac{1}{N}Y_0} dW,$$

$$A \text{ large,} \quad dY_0 = s \left(1 - \frac{Y_0}{\pi_0}\right) Y_0 dt + \sqrt{\frac{1}{N}Y_0} dW,$$

# A rescaling

$$Z(t) = \frac{1}{\pi_0} Y_0(N\pi_0 t).$$

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$A = 1$  ( $A$  large)  $\equiv$  moderate clicking (slow clicking):

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In order for  $0.58 \frac{1}{\gamma \log(N\lambda)} (N\lambda)^{1-\gamma}$  to be  $> 5$ ,

$\gamma$	0.3	0.4	0.5	0.55	0.6	0.7	0.8	0.9
$N\lambda \geq$	20	$10^2$	$9 \cdot 10^2$	$4 \cdot 10^3$	$2 \cdot 10^4$	$4 \cdot 10^6$	$2 \cdot 10^{11}$	$8 \cdot 10^{26}$

# Rule of thumb

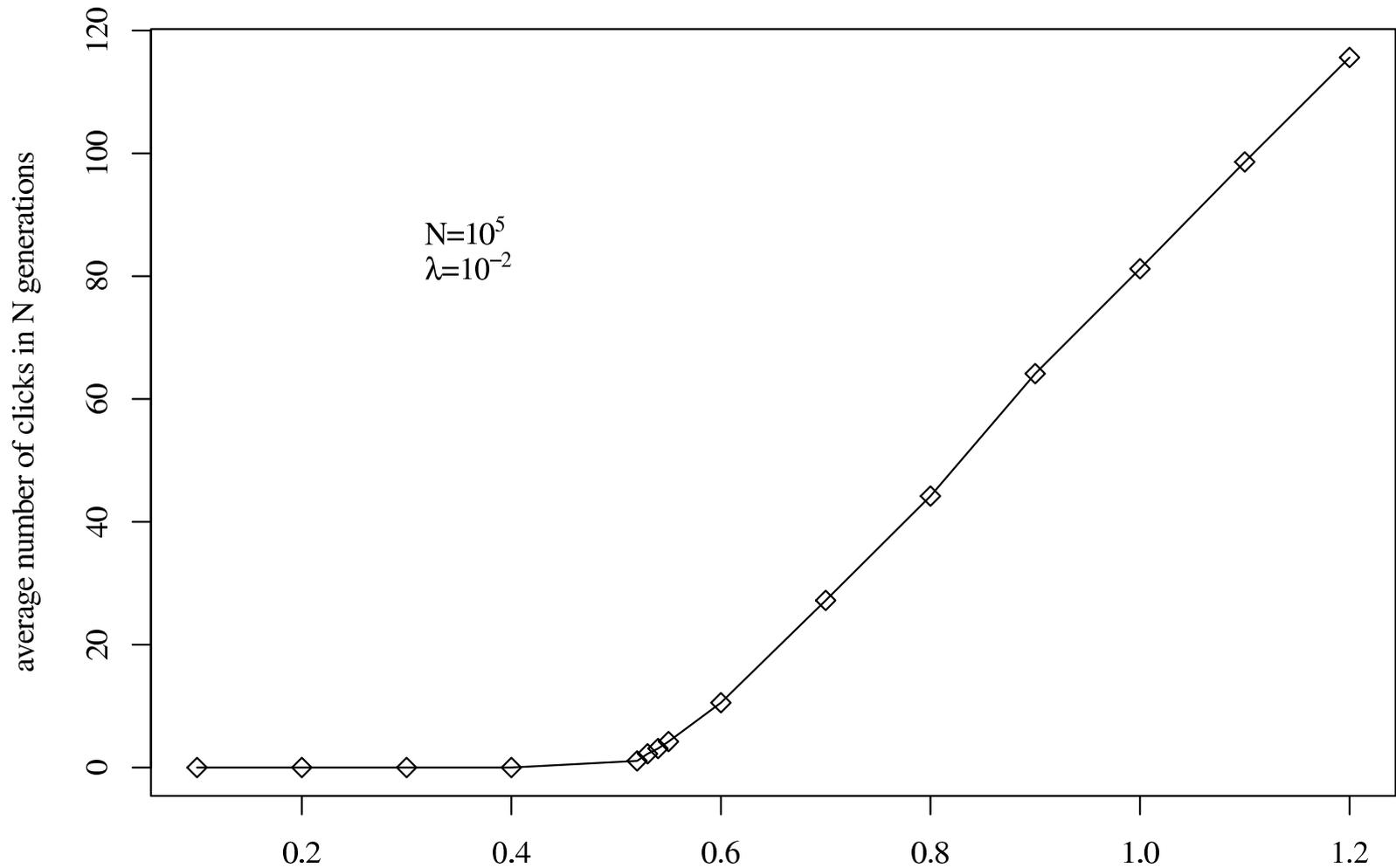
For biologically realistic parameters, transition from no clicks to moderate clicks (on evolutionary timescale) around  $\gamma = 0.5$ .

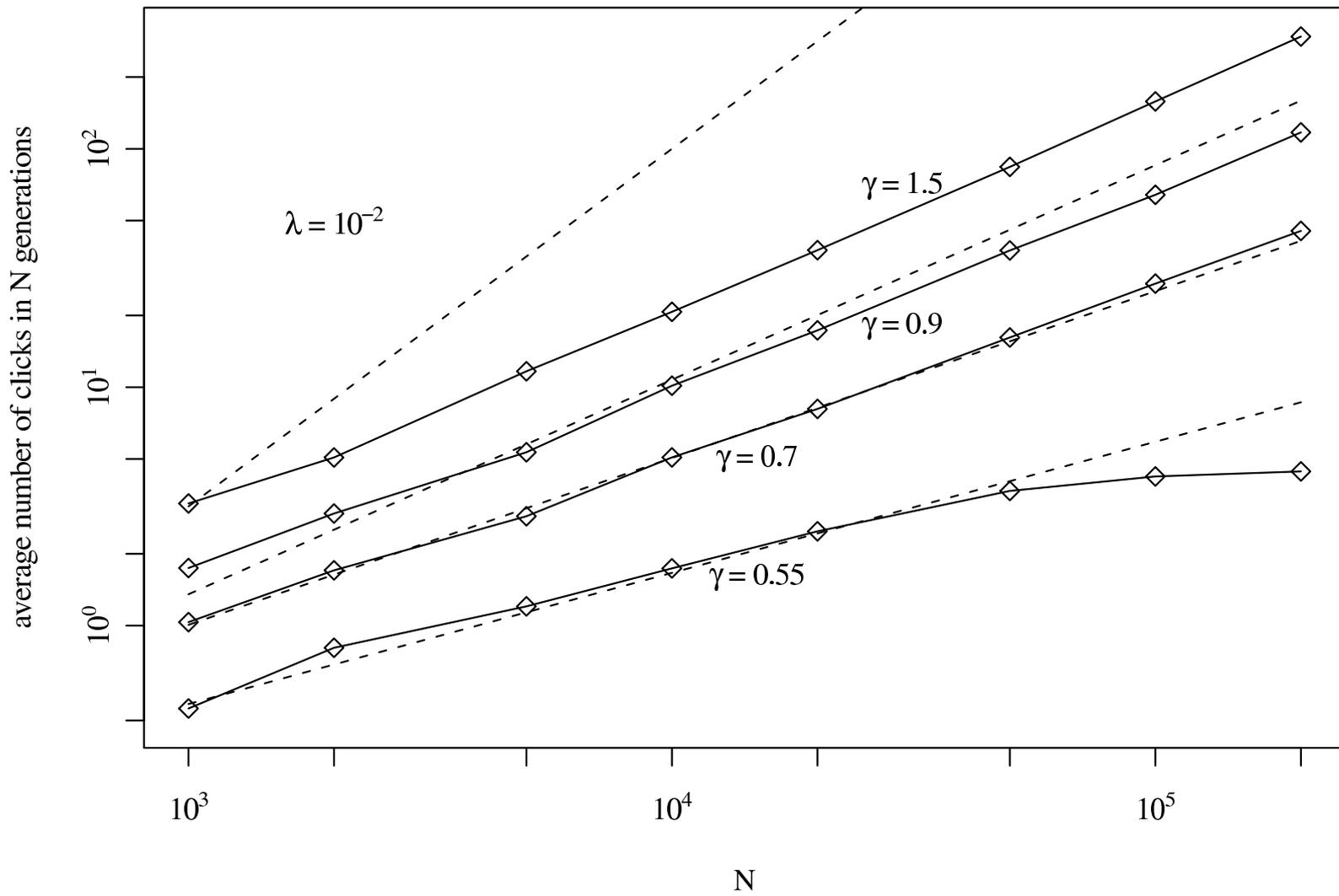
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*The rate of the ratchet is of the order  $N^{\gamma-1}\lambda^\gamma$  for  $\gamma \in (1/2, 1)$ , whereas it is exponentially slow in  $(N\lambda)^{1-\gamma}$  for  $\gamma < 1/2$ .*

# Simulations





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Is there a limit to the rate of adaptation?

# A mixture of mutations

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- *Mutation*: For each individual  $i$  a mutation event occurs at rate  $\mu$ . With probability  $1 - q$ ,  $X_i$  changes to  $X_i - 1$  and with probability  $q$ ,  $X_i$  changes to  $X_i + 1$ .
- *Selection*: For each pair of individuals  $(i, j)$ , at rate  $\frac{\sigma}{N}(X_i - X_j)^+$ , individual  $i$  replaces individual  $j$ .
- *Resampling*: For each pair of individuals  $(i, j)$ , at rate  $\frac{1}{N}$ , individual  $i$  replaces individual  $j$ .

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Technical modification: suppress mutations which would make the ‘width’ of the population  $> L \equiv N^{1/4}$ .



- $\dots, P_0(t), \dots, P_k(t), \dots$  - Proportion of individuals with  $k$  mutations at time  $t$
- $k_{max}$  ( $k_{min}$ ) - type of the fittest (least fit) individual

$$\begin{aligned}
 dP_k &= \bar{\mu}_k(P) dt + \sigma \sum_{l \in \mathbb{Z}} (k - l) P_k P_l dt + dM_k^P \\
 &= [\bar{\mu}_k(P) + \sigma(k - m(P))P_k] dt + dM_k^P
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$M^P$  is a martingale with

$$[M_k^P](t) \leq \frac{2\mu}{N} t + \frac{1}{N} \int_0^t \sum_{l \in \mathbb{Z}} (2 + \sigma(k - l)^+ + \sigma(l - k)^+) P_k(s) P_l(s) ds$$

# Moments

Mean fitness  $m(P) = \sum_k kP_k$  satisfies

$$dm(P) = (\bar{\mu}(P) + \sigma c_2(P)) dt + dM^{P,m}$$

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Ignoring mutation terms, centred moments  $c_k = \sum_k (k - m(p))^n P_k$  satisfy

$$dc_2 \approx \sigma c_3 dt + \text{small noise terms}$$
$$dc_3 \approx \sigma(c_4 - 3c_2c_2) dt + \text{small noise terms}$$
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$$dc_5 \approx \sigma(c_6 - 5c_4c_2) dt + \text{small noise terms}$$

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Stationary distribution approximately Gaussian.



- Suppose stationary distribution Gaussian with mean  $m(P)$ , variance  $b^2$ .

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If there is a single individual at  $m(P) + K$  at time  $t = 0$ , how long until there is an individual at  $m(P) + K + 1$ ?

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Ignoring beneficial mutations occurring to individuals at  $m(P) + K - 1$ , until the front advances

$$Z(t) \approx e^{(\sigma K - (1-q)\mu)t}.$$

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But wave speed is

$$\approx \mu(2q - 1) + \sigma c_2(P) \approx \mu(2q - 1) + \sigma b^2 \approx \mu(2q - 1) + \frac{\sigma K^2}{2 \log N}.$$

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- If  $K = \log N$ , then  $LHS > RHS$ ;
- $K = \log^{1-\delta} N$ ,  $\delta > 0$ , then  $LHS < RHS$ .

# Conclusion

Consistency condition:

$$\frac{\sigma K - (1 - q)\mu}{\log(\sigma K - (1 - q)\mu)} = \mu(2q - 1) + \frac{\sigma K^2}{2 \log N}.$$

For large  $K$ , this approximately reduces to

$$K \log(\sigma K) = 2 \log N.$$

- If  $K = \log N$ , then  $LHS > RHS$ ;
- $K = \log^{1-\delta} N$ ,  $\delta > 0$ , then  $LHS < RHS$ .

So  $K$  between  $\log N$  and any fractional power of  $\log N \Rightarrow$  rate of adaptation, of order between  $\log N$  and any fractional power of  $\log N$ .

# Rigorous result

**Theorem.**

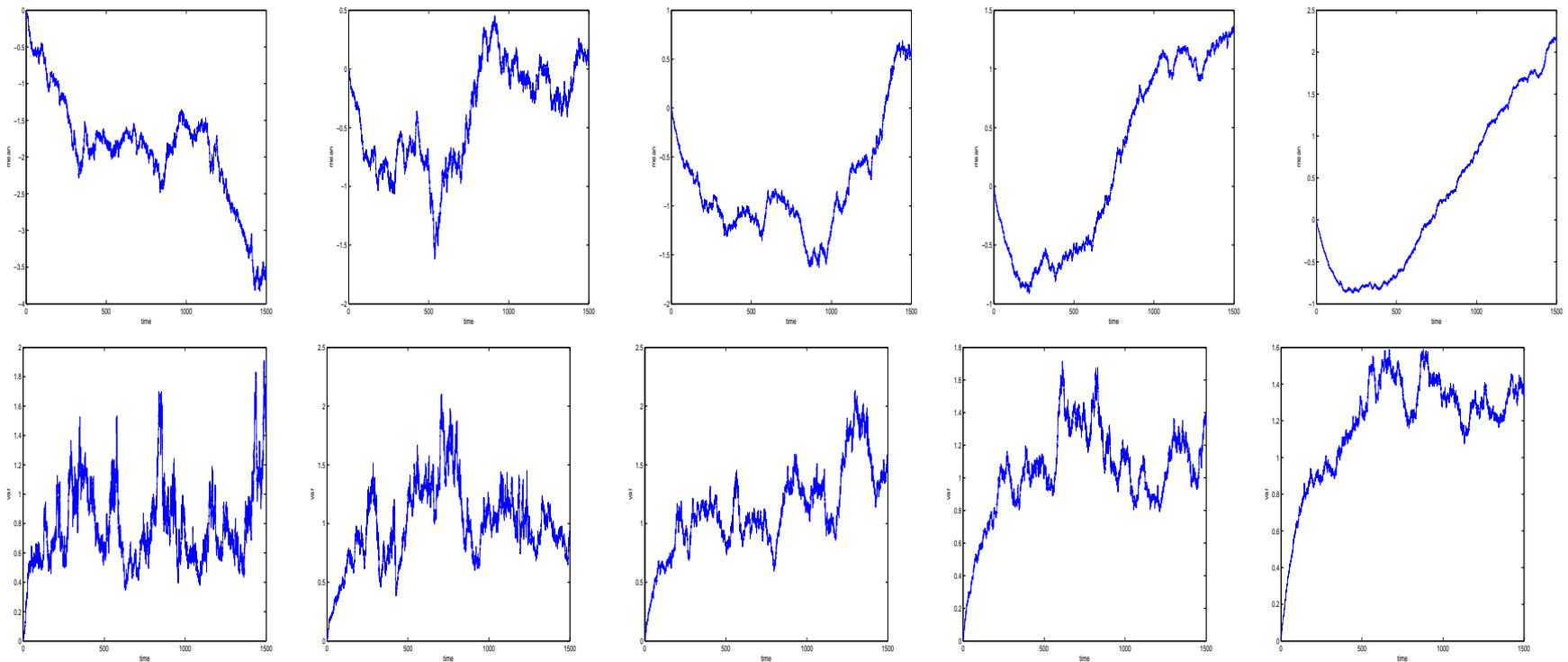
If  $q > 0$ , then for any  $\beta > 0$ , there exists a positive constant  $c_{\mu,\sigma,q}$  such that

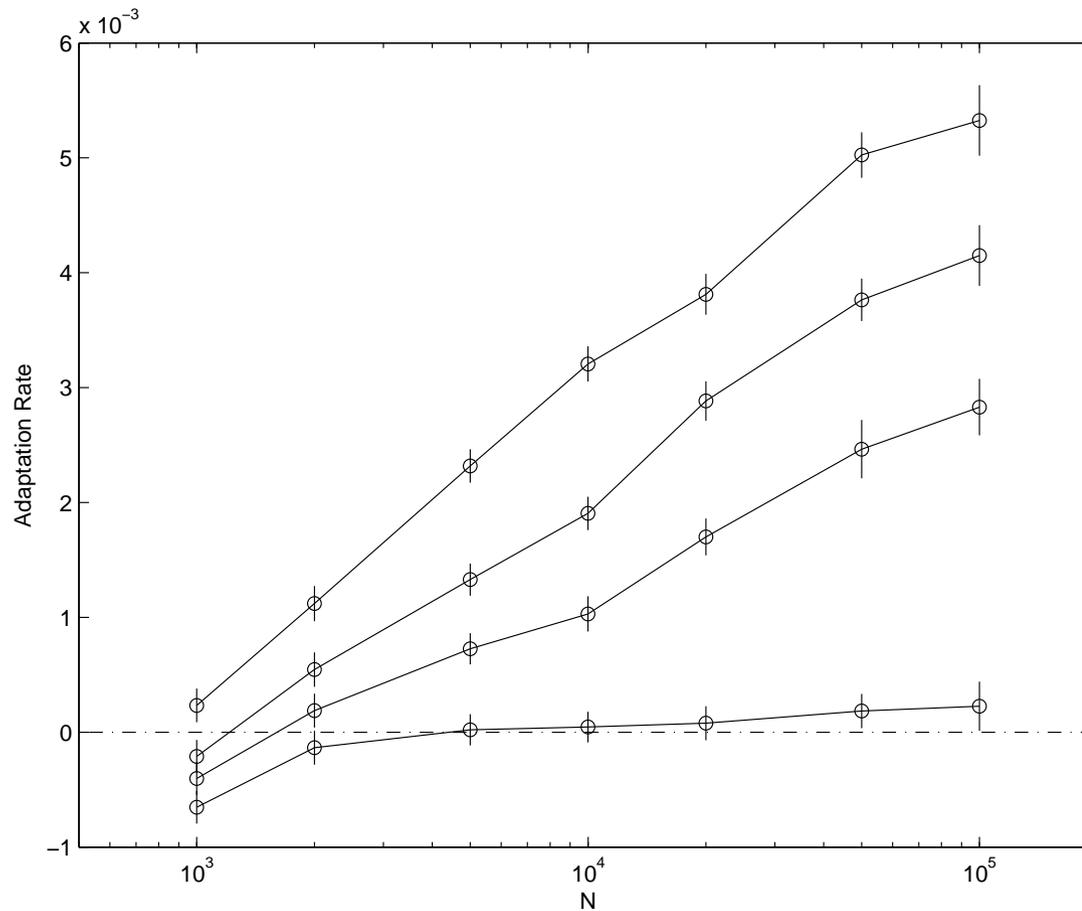
$$\mathbb{E}^\pi[m(1)] \geq \mathbb{E}^\pi[c_2] \geq c_{\mu,\sigma,q} \log^{1-\beta} N$$

if  $N$  is sufficiently large.

# Simulations

With  $\mu = 0.01$ ,  $q = 0.01$ ,  $\sigma = 0.01$ ,  $N = 1000, 2000, 5000, 10000, 30000$ .  
First row: mean; second row: variance.





Adaptation rate against population size.

From top to bottom,  $q = 4\%$ ,  $2\%$ ,  $1\%$ ,  $0.2\%$ ,  $\mu = 0.01$ ,  $\sigma = 0.01$ .